

---

DISTRICT OF COLUMBIA HOUSING AUTHORITY  
CONTRACTS AND PROCUREMENT

---



**REQUEST FOR PROPOSAL**

0008-2018

**ISSUE DATE: January 22, 2018**

**CLOSING DATE: February 22, 2018**

**CAPTION: Banking Services**

**Table of Contents**

**SECTION A –INTRODUCTION**..... 4

**A.1**    OVERVIEW ..... 4

**A.2**    BACKGROUND ..... 4

**SECTION B – SCOPE OF SERVICES** ..... 4

**B.3**    AVAILABILITY OF FUNDS ..... 8

**B.4**    BANKING SUPPLIES ..... 8

**B.5**    INVESTMENT INCOME/CREDIT ..... 8

**B.6**    BANKING SERVICE FEES ..... 9

**B.7**    BANK SERVICES CONTACT..... 9

**B.8**    ELECTRONIC BANKING ..... 9

**B.10**   CHECK IMAGING ..... 10

**B.11**   POSITIVE PAY SERVICES ..... 10

**B.12**   CHECK SCANNER ..... 10

**B.13**   LOCKBOX PROCESSOR ..... 10

**B.14**   FRAUD CONTROL ..... 10

**B.15**   CORPORATE CREDIT CARD ..... 10

**B.16**   TERMS AND CONDITIONS ..... 11

**SECTION C- INSTRUCTIONS, CONDITIONS AND NOTICE TO RESPONDENTS**..... 11

**C.1**    GENERAL ..... 11

**C.2**    SUBMISSION OF PROPOSALS QUESTIONS/INQUIRIES..... 11

**C.3**    SITE VISIT- (*Reserved*) ..... 12

**C.4**    PRE-PROPOSAL CONFERENCE (*Reserved*) ..... 12

**C.5**    SUBMISSION DATE..... 12

**C.6**    CONTENT OF PROPOSALS ..... 12

**C.7**    TECHNICAL PROPOSAL..... 13

**C.8**    PRICE PROPOSALS..... 16

**C.9**    CONFLICT OF INTEREST ..... 16

**C.10**   COMPLETE PROPOSALS ..... 17

**C.11**   MANNER OF AWARDS ..... 17

**C.12**   RETENTION ..... 17

**C.13**   FAILURE TO SUBMIT RESPONSE ..... 17

**C.14**   UNNECESSARILY ELABORATE PROPOSALS ..... 17

**C.15**   PROPRIETARY OR CONFIDENTIAL INFORMATION..... 17

**SECTION D - CONTRACT TERMS** ..... 20

<b>D.2</b>	TYPE OF CONTRACT .....	20
<b>D.7</b>	METHOD OF COMPENSATION .....	23
<b>D.8</b>	TASK ORDERS- <i>Reserved</i> .....	23
<b>D.9</b>	AFFIRMATIVE ACTION PROGRAM.....	23
<b>D.25</b>	MCNAMARA - O’HARA SERVICE CONTRACT ACT.....	31
<b>SECTION E - EVALUATION FACTORS FOR AWARD.....</b>		<b>31</b>
<b>E.1</b>	EVALUATION OF PROPOSALS .....	31
<b>E.3</b>	EVALUATION AND SELECTION CRITERIA.....	32
<b>F1.</b>	TIMETABLE.....	35
<b>SECTION G – EXHIBITS, APPENDICES &amp; ATTACHMENTS .....</b>		<b>36</b>
<b>G.1</b>	EXHIBITS .....	36
<b>G.2</b>	APPENDICES- .....	36
<b>G.3</b>	ATTACHMENTS.....	36

## **SECTION A –INTRODUCTION**

### **A.1 OVERVIEW**

The District of Columbia Housing Authority (DCHA) is dedicated to enhancing the quality of life in the District of Columbia by providing and effectively managing affordable housing, which is diverse, well maintained, and aesthetically pleasing for those whose circumstances prevent them from competing in the general marketplace. Besides subsidizing over 8,300 units with public housing operating subsidy, DCHA also contracts approximately 14,000 private units through its Housing Choice Voucher Program. DCHA has embarked on an ambitious program of development and redevelopment to create economically diverse communities and neighborhoods. DCHA is one of the most innovative housing authorities in the nation and is actively pursuing non-governmental sources of financing to promote HCVP homeownership assistance programs, project-based public housing subsidies, and implement more creative uses of its authority through the United States Department of Housing and Urban Development (HUD)'s Moving to Work (MTW) Demonstration Program.

### **A.2 BACKGROUND**

The banking services contract award is for five (5) years. DCHA invites qualified and interested banking institutions (“Respondents”) to submit proposals in response to this Request for Proposal (RFP) solicitation.

## **SECTION B – SCOPE OF SERVICES**

The banking institution selected will be required to meet the objectives described in the overview of the various bank accounts listed below and should be able to provide services for the list of financial and investments services needed. Any dollar amounts and activity volumes provided are estimates.

### **B.1 REQUIRED ACCOUNTS**

DCHA will initially require **44** bank accounts and of this total, **23** are escrow savings accounts. These accounts and their primary characteristics are described below:

#### **1. General Fund (GF)Account**

The General Fund, core bank account serves as the master account to fund the zero balance accounts (ZBA), which include the Tenant Rent Collections, Disbursement-Accounts Payable and Payroll accounts. The GF account receives deposited funds from a Lockbox System consisting of 3 separate sub-accounts.

The awarded banking institution will respond with the most favorable and maximum investment credit/income for this account. This account will be the primary account to receive the Automated Clearing House (ACH) deposits from HUD as well as program funds from other local governmental units. No checks will be drawn on this account. The average monthly number of transactions is 1,800 and average monthly account balance is \$98 million respectively.

2. Tenant Rent Collection's (ZBA-Sub Acct.)

This account will serve as the primary tenants' rent & security deposit account for DCHA. Receipts of this ZBA are processed through the Lockbox System and/or electronic direct deposit. No checks will be drawn on this account. It requires a daily zero balance. It is required that the daily balance of this account be automatically transferred to the General Fund account described in B.1.1. above. Estimated monthly deposits include 3,400 items with an approximate value of \$1.3 million.

Lockbox System

This lockbox service will serve as the primary deposit system for three separate lockbox sub-accounts. No checks will be drawn on any of these accounts. Lockbox deposits will require a daily sweep into the General Fund account described in B.1.1. above. The 3 lockbox sub-accounts are:

- LIPH (rent collections)-average number of transactions per month is 3,400 and average amount of deposits per month is \$1.3 million.
- HCVP (repayment agreements)-average number of transactions per month is 300 and average amount of deposits per month is \$9,000.
- DCHA-FRSP (rent collections)-average number of transactions per month is 650 and average amount of deposits per month is \$195,000.

3. Disbursement-Accounts Payable (ZBA-Sub Acct)

This account will serve as the primary general vendors checking account. It requires a daily zero balance. The General Fund account described in B.1.1. above will automatically transfer funds to cover all checks cleared through this account. DCHA will send electronic check files as well as Electronic Fund Transfers (EFTs) payment files to the awarded banking institution for the "positive pay" protection. The average monthly number of checks issued is 213 and average monthly amount of payments is \$2.3 million. The average monthly number of EFT transactions is 103 and average monthly amount of payments is \$5.7 million.

4. Payroll (ZBA-Sub Acct)

This account will serve as the funding source for payroll through ADP on behalf of DCHA. The Payroll account will be funded by the General Fund account described in B.1.1 above to cover ADP EFT debit transactions. Employee debit cards are issued through ADP in lieu of reissuing lost payroll checks. The average biweekly number of EFTs and payroll disbursement to be processed is 5 EFTs and \$2 million in payroll disbursement.

5. Housing Choice Voucher (HCV) Program

This interest-bearing account will serve as the primary disbursement bank account for housing assistance payments. The average monthly deposit of funds transferred manually for this account is approximately \$15 million. A monthly average of 3,200 checks and 4,500 EFTs will be issued with a monthly average disbursement of \$15 million.

6. Local Housing Assistance Program Disbursement Accounts

DCHA administers housing assistance programs for many local government units. DCHA maintains six interest-bearing accounts. Disbursements from these accounts are issued to landlords, agents and tenants. The average monthly activity for these accounts is listed below:

<b>Acct Name</b>	<b># of Checks</b>	<b>(\$ Amt of Checks (000s))</b>	<b># of EFTs</b>	<b>(\$ Amt of EFTs (000s))</b>
Low Rent Supplement Program	833	\$286	1,098	\$4000
Family Rehousing Stabilization Program	44	\$104	470	\$2000
Dept. of Health & Human Services	35	\$71	381	\$944
Dept. of Mental Hlth-HMFII	37	\$57	384	\$812
DC Local Families	161	\$55	330	\$592
Child Family Services Admin	12	\$13	27	\$28

7. Other DCHA & Affiliate Accounts

There are 13 additional DCHA and affiliate interest-bearing bank accounts, from which disbursements are issued and deposits received. These accounts are designated for servicing DCHA's business enterprises and grant programs. The average monthly activities for these accounts are listed below:

<b>Account Name</b>	<b># of Dep/ (\$ Amt of Dep (000s))</b>	<b># of Chks / (\$ Amt of Chks (000s))</b>	<b># of EFTs/ (\$ Amount of EFTs (000s))</b>	<b>Acct Bal (\$ (000s))</b>
Rehabilitation Maint Fund	0 / \$0	N/A	2 / \$380	\$13,000
Admin Housing Assistance Fees	4 / 400	N/A	0 / \$0	\$7,000
Capper Parking Lot Proceeds	50 / \$173	N/A	3 / \$213	\$5,000
Mixed Finance Project Income	5 / \$178	N/A	4 / \$22	\$5,000
DC Housing Solutions, Inc.	0 / \$0	N/A	0 / \$0	\$2,000
Capitol Housing Partners, LLC	0 / \$0	N/A	0 / \$0	\$1,900
Public Housing Pilot Plan	0 / \$0	N/A	0 / \$0	\$940

Parkway Overlook LP	0 /\$0	N/A	10 / \$10	\$750
Barry Farm Redevelopment	2 / \$700K	N/A	2 / \$600K	\$700
Affordable Housing Corp of DC	2 / \$600	N/A	0 /\$0	\$300
HRLP Supplemental Fund	2 / \$70K	2 / \$5K	4 / \$17K	\$250
Capper TH I Afford Reserve	0 /\$0	N/A	0 /\$0	\$200
Oxford Manor Reserve	0 /\$0	N/A	0 /\$0	\$80

8. Money Market Savings & Escrow Accounts

DCHA administers a parent escrow account with 21 sub-escrow savings accounts. In addition, a separate escrow account is needed for the Public Housing Self-Sufficiency Program account. On average, all 23 accounts collectively generate approximately 32 transactions with a combined monthly balance of \$1.1 million.

9. Investments

The awarded banking institution will provide recommendations to DCHA for NOTICE PIH 2002-13 (HAs) for Required HA Cash Management and Investment Policies and Procedures (Appendix II) attached.

10. Online Payment System

This new system will allow DCHA customers to make online payments through an integration payment solution system. The awarded banking institution will provide DCHA customers the capability to make payment using credit/debit card. Fees will be charged to the user of these services.

**B.2 REPORTING REQUIREMENTS**

The successful bank will provide the following items, documentation and/or reports to DCHA's Finance and Accounting Department:

- a. Timely debit and/or credit memoranda for **all** transactions except deposits made directly by DCHA and checks written by DCHA. Examples are ACH deposits, returned checks charged back, wire transfer confirmation and stop payment charges. List all Returned Checks individually.
- b. Monthly bank account statements and Reconciliation Reports should be received electronically by DCHA no later than two (2) business days for statements and five (5) business days for Reconciliation Reports after the end of each month. For checking accounts, the bank should provide a statement listing checks in numerical order.
- c. Software for on-line access, including access to issue stop payments, voids and on-line transfers.

- d. A separate monthly recap statement and files of deposits for Tenant Rent and Housing Assistance Voucher Program - Repayment Agreement and direct debit deposits made by DCHA in date order.
- e. Directly or through a third party, Lockbox Services and direct debit payment services for Tenant Rent and Repayment Agreement Payment Collections.
- f. For all accounts as described in Section B.1 above, an electronic daily recap statement of **both** a) funds transferred to different zero balance accounts, and b) funds transferred from any account described in Section B.1 above.
- g. A monthly report listing all collateral securities pledged to cover deposits of DCHA.
- h. A monthly statement providing an analysis of the monthly interest calculation on interest-bearing accounts, earnings credit or other fee reducing service. The published Treasury bill rates used to determine the interest rates earned should also be provided.
- i. Escrow Management Services – These separate escrow accounts will provide escrow management services to Low Rent Housing and Section 8 families participating in the escrow management program. These escrow accounts should be maintained as a group under a single Parent Account.
- j. Detail or summary information in both on-line and hard copy format related to all bank accounts. Provide services for all check issued disbursement accounts to verify if checks are cancelled, have stop payments placed on them or are cleared.
- k. A remote deposit scanner which DCHA will use to deposit all checks and money orders electronically. The scanner should also generate a detailed report, which reflects all scanned items in detail format.
- l. Automatically reconcile HCVP and General Fund AP through e-file transmission upload. Files should integrate with DCHA e-accounting software application to migrate canceled and voided checks activity to the accounting system's general ledger.

### **B.3** AVAILABILITY OF FUNDS

The Bank will guarantee immediate availability of all wire transfers, U.S. Treasury checks and ACH deposits. All other checks will be based on one-day availability.

### **B.4** BANKING SUPPLIES

Banking documents and services customarily provided to demand deposit customers will be provided to DCHA at no charge. These documents and services include deposit slips, endorsement stamps, investment advisory services and other miscellaneous services.

### **B.5** INVESTMENT INCOME/CREDIT



The awarded banking institution will respond to Exhibit I with interest rates and monthly amounts of investment income/credit.

**B.6** BANKING SERVICE FEES

The Bank will respond to a detailed schedule of bank service fees based on each type of account and services as shown in Exhibit II. The awarded banking institution's generic fee schedule will not be accepted in lieu of Exhibit II.

**B.7** BANK SERVICES CONTACT

The Bank must designate a minimum of one senior staff person and a corporate/business customer service department toll free number who has access to handle normal daily inquiries and changes during and after banking hours.

**B.8** ELECTRONIC BANKING

The Bank will provide on-line access to electronic banking. DCHA will retrieve bank information directly through the use of a personal computer or terminal and modem. The system will allow instantaneous access to on-line:

- a. Daily account balances with individual account totals as well as grand total.
- b. All itemized debits and credits with individual account totals as well as a grand total.
- c. Itemized return items with individual account totals as well as grand total.
- d. Daily transaction reports
- e. Print & view checks (front & back)
- f. Entry and review of stop payments with the capability to review cleared checks prior to actual stops.
- g. Stop payments held on record for a period of twelve (12) months by the bank.
- h. Electronic Funds transfer capability for all accounts.
- i. Print and save (storage) capabilities for each function listed above.
- j. Capability to produce a full Bank Reconciliation monthly for all Housing Assistance Payment and General Fund AP bank accounts.
- k. Scanned checks and money orders for a minimum of 1 year. The bank should also provide software, which allows a minimum of 90 days of scanned checks and money orders to be maintained on DCHA premises.

**B.9** DIRECT DEPOSIT

The Bank will provide direct deposit services, ACH, and wire transfers, both incoming and outgoing.

**B.10** CHECK IMAGING

The Bank will provide check imaging and bank statements available on-line for up to 7 years.

**B.11** POSITIVE PAY SERVICES

The Bank will provide “positive pay” services. DCHA will prepare an electronic file of payable checks and direct deposit sent out and transmits data to the bank. The bank will only pay those items authorized by DCHA in advance, thereby protecting against unauthorized and altered checks and direct deposits drawn against DCHA’s account. The financial institution will provide confirmations of positive pay, void, stop payments and cancel issues with-in 24 hours. Unauthorized and altered checks and direct deposit will not be drawn against DCHA’s bank accounts.

**B.12** CHECK SCANNER

The Bank will provide DCHA with a scanner, which DCHA will use to deposit all checks and money orders electronically. The scanner should also generate a detailed report, which reflects the scanned items in detail format.

**B.13** LOCKBOX PROCESSOR

The Bank will provide a Lockbox processor for the collection of Rent and Housing Assistance Repayment Agreements and other deposits, preferably in the District of Columbia.

**B.14** FRAUD CONTROL

DCHA currently uses previous day reporting and positive pay services to identify and prevent unauthorized charges from being made on Agency accounts.

**B.15** CORPORATE CREDIT CARD

The Bank will provide Visa and/or MasterCard credit under the Agency’s name for specific individuals.

## **B.16** TERMS AND CONDITIONS

All of the above-required bank accounts must meet collateralization within federal guidelines, as stipulated in form HUD-51999 General Depository Agreement. It states that any portion of DCHA's Funds not insured by a Federal insurance organization shall be fully (100%) and continuously collateralized with specific and identifiable U.S. Government or Agency securities prescribed by HUD. Such securities shall be pledged and set aside in accordance with applicable law or Federal regulations (Form HUD-51999).

DCHA reserves the right to close existing accounts or to open new accounts, as operations require. Any additional account opened during the contract period is subject to the same requirements as specified in the proposal.

Participating institutions must meet the following minimum qualifications:

1. Depository bank must maintain a full service branch or home office in the District of Columbia.
2. Depository bank must comply with the terms set forth in the General Depository Agreement (Form HUD 51999) and depository bank must not be currently designated as "troubled" or otherwise been declared as financially or operationally unstable by any Federal or State banking regulatory agency.  
[https://www.hud.gov/program\\_offices/administration/hudclips/forms/hud5#2](https://www.hud.gov/program_offices/administration/hudclips/forms/hud5#2)

## **SECTION C- INSTRUCTIONS, CONDITIONS AND NOTICE TO RESPONDENTS**

### **C.1** GENERAL

The instructions below provide guidance for the preparation and submission of proposals. Their purpose is to establish the requirements, format, and content of proposals so that proposals are complete, contain all essential information and may be evaluated easily.

### **C.2** SUBMISSION OF PROPOSALS QUESTIONS/INQUIRIES

All inquiries regarding this RFP and any correspondence relating thereto shall be submitted in writing to the Contracting Officer at the following:

District of Columbia Housing Authority  
Office of Administrative Services/Contracts & Procurement  
1133 North Capitol Street, NE, Suite 300  
Washington, DC 20002-7599  
Attention: Cheryl Moore, Contracting Officer  
Email: [chmoore@dchousing.org](mailto:chmoore@dchousing.org)

**Inquiries and/or questions concerning this RFP must be submitted in writing to the Issuing Office no later than Monday, February 5, 2018 by 12:00 noon.** Please copy

all inquiries sent to the Contracting Officer to the Contract Specialist responsible for this RFP, LaShawn Mizzell-McLeod, by email to [LMMCLEOD@dchousing.org](mailto:LMMCLEOD@dchousing.org) and [business@dchousing.org](mailto:business@dchousing.org). Answers to questions will be provided to all Respondents being solicited, giving regard to the proper protection of proprietary information. Responses will post by addendum to the DCHA website [www.dchousing.org](http://www.dchousing.org) under the “Doing Business with DCHA”.

**RESPONDENTS ARE INSTRUCTED TO CONTACT ONLY THE SOLICITATION ISSUING OFFICE IN CONNECTION WITH ANY ASPECT OF THIS SOLICITATION PRIOR TO CONTRACT AWARD. PROPOSALS AND ALL CORRESPONDENCE RELATING TO THIS SOLICITATION DOCUMENT MUST BE SUBMITTED TO THE ISSUING OFFICE POINT OF CONTACT.**

**C.3 SITE VISIT- (*Reserved*)**

**C.4 PRE-PROPOSAL CONFERENCE (*Reserved*)**

**C.5 SUBMISSION DATE**

**All proposals must be received by 11:00 a.m. on Thursday, February 22, 2018.**

Proposals shall be submitted in sealed packaging marked “RFP 0008-2018 Banking Services” and addressed to:

District of Columbia Housing Authority  
Office of Administrative Services, Contracts and Procurement  
Suite 300  
1133 North Capitol Street, N.E.,  
Washington, D.C. 20002-7599  
Attention: Cheryl Moore, Contracting Officer

**Electronic submission of Proposals will not be accepted for this procurement.**

**C.6 CONTENT OF PROPOSALS**

All proposals submitted for consideration will be reviewed by DCHA with the Respondent receiving the highest rating based on the criteria outlined in this section to be selected as the most capable of providing the services in a manner that is most advantageous to DCHA, cost and other factors considered.

Proposals shall not exceed 30 pages, excluding the Cost Proposal and supporting materials. Proposals shall be submitted in two parts: Part I shall be titled, “Technical Proposal” and Part II shall be titled, “Price Proposal.” **Respondents shall submit one (1) original and four (4) copies of both the Technical Proposal and Price Proposal**, prepared in such format and detail as to enable DCHA to make a thorough evaluation thereof and to arrive at a sound determination as to whether the Respondent can meet the requirements set forth in this RFP. The Technical Proposal shall not contain any pricing information.

Proposals shall be organized as follows:

#### C.6.1 Proposal Format

Proposals shall be formatted on 8.5” by 11” letter-size paper, bound length-wise, with tabs to separate sections. Comb Coil or Spiral Binding shall not be used. Proposals must include each item in the order outlined below in Sections C.6.2 and C.6.3 below. Each sub-section must be separated by tabs with sub-section headings. **Technical Proposals are limited to thirty (30) pages excluding the Price Proposal, exhibits, and supporting documentation** referenced and shall be paginated and organized as described below.

#### C.6.2 PART I: Technical Proposal shall contain:

- 1) Table of Contents
- 2) Letter of Interest
- 3) Documentation to substantiate each listed Evaluation Criteria
  - Qualifications
  - Experience
  - Capability of Respondent
  - Management Approach and Methodology
  - Demonstrated Understanding of the Services
- 4) References
- 5) Experience with HUD Section 3 & Section 3 Plan
- 6) Minority Women Certified Business Enterprise (M/ WBE/ CBE) Equity Plan
- 7) Certifications and Attachments

#### C.6.3 PART II: Price Proposal shall contain:

- 1) Pricing  
Package No. 2 shall contain price proposals only.  
(Complete Exhibits I & II Price Proposal)

### C.7 TECHNICAL PROPOSAL

Detailed information about the requirements of each part is listed below. At a minimum, these sections should contain the following:

### **C.7.1 Table of Contents**

### **C.7.2 Executive Summary/Introduction**

Letter of Interest that includes executive summary/introduction detailing the history and attributes of the Bank; name and address of the Respondent; website and telephone number; email address of contact; a brief description of the understanding of the Institutions understanding of the Scope of Services; ability to perform the work and history of providing Banking Services for Independent Government Agencies.

### **C.7.3 Qualifications**

Provide background of the level of staff who will be devoted to the banking services and evidence of the banking institution's performance histories including accreditation, certification and regulatory compliance.

### **C.7.4 Experience**

Respondent's previous experience with government entities, public housing authorities, HUD funded programs or local governments, including letters of recommendation.

### **C.7.5 Capability of Respondent**

Evidence of the Respondent's ability to perform the banking services as described in the Scope of Services; organizational capacity necessary to successfully provide the required banking services.

### **C.7.6 Management Approach and Methodology**

Respondent's level of staff who will be devoted to the banking services; experience and qualifications of the specific staff assigned to DCHA's banking services.

### **C.7.7 Demonstrated Understanding of the Services**

Proposals should include the Respondent's understanding of Scope of Services and the objectives to be achieved. It must include the minimum information as identified. The Respondent may attach any additional information that is deemed useful to the related proposal.

### **C.7.8 References**

*(Supporting Documentation not included in 30-page limitation.)*

Provide three (3) recent professional references from clients for whom the entity has provided Banking Services as described in the Scope of Services within the past 3 years. Include name, address, and telephone number, description of work performed, the dates of service, and the status of application (submitted, not submitted, successful, and unsuccessful).

References may be contacted to verify project award, project performance and quality of work.

**C.7.9 Experience with HUD Section 3 and Section 3 Plan**

*(Supporting Documentation not included in 30-page limitation.)*

Describe the Respondent's experience with compliance with HUD Section 3 requirements and the results achieved. Review Section 3 requirements outlined in Section D.10 of this Solicitation and complete the "Action Plan for Section 3 Commitment" and an "Estimated Project Workforce Breakdown" as outlined in that section. Minimally address the following: (1) Indicate whether the Respondent has a valid Section 3 Certificate (issued by the Department of Housing and Community Development); (2) Provide a detailed description of the hiring plan for DCHA program participants, including the number and type of jobs to be provided and the number and type of training opportunities to be provided; (3) Provide a detailed description of the training plan for DCHA program participants, including whether interns will be contracted or if funding will be provided for training; (4) Outline the Respondent's proposal, if any, to subcontract to Section 3 businesses; (5) Describe in detail the type of service to be provided, including a narrative clearly describing the results achieved in the Respondent's experience in hiring and training Section 3 residents.

**See Section E of this Solicitation for an explanation of points systems for Section 3 specifically.**

**C.7.10 Minority/Women/Certified Business Enterprise (M/WBE) Equity Plan**

*(Supporting Documentation not included in 30-page limitation.)*

- a. Respondents should submit narrative information about their policies, plans, activities and accomplishments in creating a diverse workforce, both in their legal and administrative support staffs. The narrative should also indicate any experience utilizing M/WBEs and to what extent minorities and women are included in the team that will advise DCHA.
- b. Describe the diversity profile of the Respondent's shareholders, members or partners, of counsel and associates. Please include a description of how women and minorities hold positions of leadership in the Respondent's organization, including any history of such participation.

**C.7.11 Certifications/Attachments**

*(Supporting Documentation not included in 30-page limitation.)*

Attachment can be downloaded from Section G. Attach the following completed certification forms:

Attachment A: HUD 5370 General Conditions for Non-Construction Contracts  
Section I

Attachment B: Tax Certification Affidavit

Attachment C: Non-Collusive Affidavit

- Attachment D: Certificate of Eligibility
- Attachment E: Contract Compliance Requirements
- Attachment F: Payments to Subcontractors and Suppliers Certificate
- Attachment G: Representations, Certifications, and Other Statements of Bidders
- Attachment H: Statements of Bidders Qualifications
- Attachment I: Section 3 Contractor Compliance Agreements
- Attachment J: Conflict of Interest Certification
- Attachment K: List of Minority and Woman-Owned Banks
- Attachment L: Benchmark Standards and Menu of Expanded Options for Compliance with DCHA's Section 3 Program
- Attachment M: Wage Determination

**Proposals submitted with incomplete or missing certifications may be determined unresponsive and removed from consideration.**

## **C.8 PRICE PROPOSALS**

### C.8.1 Complete Price Proposal Exhibits I and II.

Exhibit I- Price Proposal Monthly Investment Income/Credit

Exhibit II – Price Proposal Monthly Bank Service Fees

**Banking institution's generic pricing sheet will not be accepted. Actual points assigned to each respondent in this category will be based on the offer's unit price and will be compared in accordance with the total price provided. The respondent with the overall lowest price will receive the maximum points. All others proposals will receive a proportionately lower total score.**

C.8.2 Fair Price Statement: Provide a statement that your firm warrants the prices quoted are not in excess of those charged to non-governmental clients for the same services performed by the same individuals.

## **C.9 CONFLICT OF INTEREST**

In submitting a proposal, the Respondent affirms that to the best of its knowledge, there exists no actual or potential conflict between the Respondent's business and financial interests and any commissioner, officer, employee, or agent of DCHA or DCHA's affiliates or instrumentalities, including DCHA. To the extent that a potential conflict exists, this must be disclosed in the proposal.



**C.10 COMPLETE PROPOSALS**

Complete proposals will be evaluated based on established evaluation criteria.

Upon the completion of the review process, the Evaluation Panel will submit its recommendation(s) of Respondents that are deemed the most overall technically qualified.

**C.11 MANNER OF AWARDS**

DCHA may award a contract or contracts upon the basis of the initial responses received, without discussion. Therefore, each initial Proposal should contain the Respondent's best and final terms from a cost and technical standpoint. Proposals shall represent the best efforts of the Respondents and will be evaluated as such. Proposals should set forth full, accurate, and complete information as required by this solicitation.

**C.12 RETENTION**

All proposals are the property of DCHA, shall be retained by DCHA, and therefore, will not be returned to the Respondent.

**C.13 FAILURE TO SUBMIT RESPONSE**

Recipients of this solicitation not responding with a proposal should not return this solicitation. Instead, they should advise the Contracting Officer, by letter or email, whether they want to receive future solicitations for similar requirements. It is also requested that such recipients advise the Contracting Officer of the reason(s) for not submitting a proposal in response to this solicitation. If a recipient does not submit a proposal and does not notify the Contracting Officer that future solicitations are desired, the recipient's name may be removed from the applicable mailing list for one (1) year after the closing date of this solicitation.

**C.14 UNNECESSARILY ELABORATE PROPOSALS**

Unnecessarily elaborate brochures or other presentations beyond those sufficient to present a complete and effective proposal to this solicitation are not desired and may be construed as an indication of the Respondent's lack of cost consciousness. Elaborate artwork, expensive paper bindings, and expensive visual and other presentation aides are neither necessary nor desired. No credit will be given for general marketing or promotional materials.

**C.15 PROPRIETARY OR CONFIDENTIAL INFORMATION**

A Respondent including proprietary or confidential information in its proposal shall conspicuously mark each page as proprietary or confidential if the Respondent does not want the proprietary or confidential information disclosed to the public or used by DCHA for other than evaluation purposes. DCHA reserves the right to determine the proprietary or confidential nature of the information and shall treat such information accordingly, based on its sole determination.

## **C.16 LATE PROPOSALS, MODIFICATIONS AND WITHDRAWAL OF PROPOSALS**

a) Proposals and modifications to proposals that are received in the designated DCHA office after the exact time specified in the RFP are “late” and shall be considered only if they are received before the award is made and one (1) or more of the following circumstances apply:

1. The proposal or modification was sent by registered or certified mail not later than the fifth (5th) calendar day before the date specified for receipt of proposal;
2. The proposal or modification was sent by mail and it is determined by the Contracting Officer that the late receipt at the location specified in the RFP was caused by mishandling of the DCHA after receipt; or
3. The proposal is the only proposal received.

b) The only acceptable evidence to establish the date of a late proposal, late modification or late withdrawal sent either by registered or certified mail shall be a U.S. or Canadian Postal Service postmark on the wrapper or on the original receipt from the U.S. or Canadian Postal Service. If neither postmark shows a legible date, the proposal, modification, or withdrawal shall be deemed to have been mailed late. When the postmark shows the date but not the hour, the time is presumed to be the last minute of the date shown. If no date is shown in the postmark, the proposal shall be considered late unless the Respondent can furnish evidence from the postal authority of timely mailing.

c) Any request for withdrawal or request for modification received after the time and date set for submission of proposals at the designated location for submission shall be considered “late”.

d) A late proposal, late request for modification, or late request for withdrawal shall not be considered, except as provided by this section.

e) A late modification of a successful proposal which makes its terms more favorable to DCHA shall be considered at any time it is received and may be accepted at the sole discretion of DCHA.

## **C.17 FURNISHING OF INFORMATION TO DETERMINE THE RESPONSIBILITY OF RESPONDENT**

The Respondent must demonstrate to the satisfaction of the Contracting Officer its capability to perform fully the contract requirements in all respects. Therefore, the Respondent shall furnish any reasonable information requested by the Contracting Officer in order to determine its ability to perform.

## **C.18 SIGNING OF PROPOSALS**

The Respondent shall sign and print or type its name on the proposal. The signing of the proposal shall deem all information contained therein as true and accurate. Any false or misleading information contained in the proposal may be grounds for disqualification (if determined prior to selection of award) or termination (if discovered after award). Erasures or other changes must be initialed by the person signing the proposal. Proposals signed by an agent shall be accompanied by evidence of the agent's authority to bind the Respondent, unless that evidence has been previously furnished to the Contracting Officer.

Proposals by a partnership must be signed with the partnership name, by or on behalf of a partner or by an authorized representative, including designation of the person signing, if applicable. Proposals by a limited liability company shall be signed by a member or on behalf of a member or manager of the company, including the designation of the person signing, if applicable. Proposals by corporations must be signed with the name of the corporation, followed by the signature and designation of the President or Vice President and attested to by the Secretary of the Corporation or other persons authorized to bind the Corporation and the Corporate Seal affixed thereto. If the proposal is signed by other than the President or Vice President, evidence of authority to so sign must be furnished in the form of an extract of minutes of a meeting of the Board of Directors or extract of by-laws certified by the Corporate Secretary with Corporate Seal affixed thereto.

The names of all persons signing shall be typed or printed below the signatures. Any proposal by a person who affixes to his signature the word "President", "Vice President", "Secretary", "Agent", or other designation, without disclosing his principal, may be held personally to the proposal. Proposals submitted by a joint venture must be signed by all authorized venture personnel.

## **C.19 FREEDOM OF INFORMATION ACT**

The District of Columbia Freedom of Information Act, D.C. Code §2-531 *et seq.* (FOIA), provides for the disclosure of public information upon request. Specifically, the law provides that "any person has the right to inspect, and, at his or her discretion, to copy any public record of a public body except as expressly exempted by the Act." Further, a "public record" has been defined by the District of Columbia Public Records Management Act of 1985 as "any document, book, photographic image, electronic data recording paper, sound recording, or other material regardless of form or characteristic, made or received pursuant to law or in connection with the transaction of public business by any officer or employee of the District." D.C. Code §2-1701(13). Thus, public information submitted in response to this solicitation may be subject to disclosure under the FOIA.

## **C.20 PROPOSAL GUARANTEE AND ACCEPTANCE PERIOD**

Proposals must be accompanied by a written guarantee that the Respondent will keep its initial offer open for at least ninety (90) days and that, if a best and final offer is made, it will keep its best and final offer open for a period of at least sixty (60) days thereafter; and upon acceptance by DCHA of a best and final offer, that it will execute the contract and meet other requirements within the times specified in the solicitation.

**C.21 ACKNOWLEDGMENT OF ADDENDA/AMENDMENTS**

Respondents shall acknowledge in their proposals receipt of addenda or amendment(s) to this solicitation by signing the document on the acknowledgment line of the addenda or amendment. Respondent's failure to acknowledge an addendum or amendment may result in rejection of the offer.

**SECTION D - CONTRACT TERMS**

Respondents will be asked to conform to the requirements outlined in Section D of this RFP in the event that they are selected as the result of this solicitation.

**D.1 TERM OF CONTRACT**

The term of the contract shall be for five (5) years from the date of award, as deemed appropriate in the representation of DCHA and subject to the availability of funds.

**D.2 TYPE OF CONTRACT**

DCHA intends to award a firm fixed price contract for services as specified in the Scope of Services in accordance with the unit Price Proposal Monthly Bank Service Fees in Exhibit II.

**D.3 TIME**

Time, if stated in a number of days, will include Saturdays, Sundays, and holidays, unless otherwise stated herein.

**D.4 INSURANCE**

The successful Respondent, at its expense, shall obtain the minimum insurance coverage set forth below and keep such insurance in force throughout the contract period. All insurance provided by the Respondent in this section shall set forth DCHA as an additional insured (**as applicable**).

The Respondent shall carry and pay for:

<b>MINIMUM INSURANCE REQUIREMENTS</b>	
<b>BASIC COVERAGE</b>	
<b>Commercial General Liability (GL):</b>	
Per Occurrence: \$2,000,000	
Aggregate: \$4,000,000	
Products and Completed Operations: \$2,000,000	
Personal/Advertising Injury: \$2,000,000	
<b>Automobile Liability:</b> \$1,000,000 per occurrence	
<b>Workers' Compensation:</b> The Contractor should contact their insurer for the appropriate liability limit.	
<b>Employer's Liability:</b> This coverage is not available to employees if Worker's Compensation applies ( <i>see</i> 32 DC Official Code § 1504). If and when Employer's Liability insurance applies, however, the minimum requirements are as follows:	
Each Accident: \$500,000	
Employee Disease: \$500,000	
Disease-Policy Limit: \$500,000	
<b>ADDITIONAL COVERAGE</b>	
<b>(Requirements to be determined depending on the contract.)</b>	
<b>Umbrella or Excess Liability:</b>	
\$ 4,000,000	
<b>Employment Practices Liability:</b>	
Per Occurrence: \$2,000,000	
Aggregate: \$4,000,000	
<b>Employee Dishonesty:</b>	
\$250,000	
<b>Bond:</b>	
Per Occurrence: \$2,000,000	
Aggregate: \$4,000,000	
<b>Cyber Liability:</b>	
Per Occurrence: \$2,000,000	
Aggregate: \$4,000,000	

With respect to policies described above:

- (a) These policies must be in place before the execution of the contract, as in-force insurance is a condition precedent to the contract;
- (b) Contractor shall provide DCHA with Certificate of Insurance **annually** as evidence of the limits of coverage described above;

- (c) In the event the Contractor's insurance expires during the term of the contract, Contractor shall provide DCHA with copies of renewal certificates thirty (30) days prior to the expiration date of the expiring coverage;
- (d) Insurance contracts shall require the insurance company to notify DCHA in the event of a substantial change in coverage during the policy term; and
- (e) The insurance provider must have an A.M. Best Company rating of A-VIII or higher by companies authorized to do business and in good standing in the District of Columbia, on such conditions, and with such beneficial interest appearing thereon as shall be acceptable to the DCHA.

In the event a claim arises out of this contract, the Contractor will promptly notify the DCHA Contracting Officer Technical Representative (COTR), and Karl Jones, Manager of the DCHA Office of Risk Management (ORM) at 202-535-1883. In addition, the Contractor will investigate and furnish the DCHA COTR and ORM with reports of all accidents, claims and potential claims for damage or injury and will cooperate with its insurers and those of DCHA.

#### **D.5 INDEMNIFICATION**

The Contractor shall indemnify, defend and hold harmless HUD and DCHA, and its respective employees, agents, contractors, Board of Directors, Board of Commissioners, members, managers and other representatives (the "Indemnified Parties"), from any loss, cost damage, claim, demand, suit, liability, judgment and expense (including any attorney fees and other costs of litigation) arising out of or resulting from or in connection with any work called for or performed under this contract, any breach by the Contractor, its agents, and employees of any provision of this contract, or any negligent or bad faith act(s) or omission (s) or the negligent performance of this contract by the Contractor, its agents, and employees or any other person or entity for which the Respondent may be responsible. Any provisions or limits of insurance set forth in this contract shall not limit the Contractor's liability. At its own expense, the Contractor shall obtain the necessary insurance coverage acceptable to DCHA to comply with this indemnification requirement; provide evidence of such coverage to DCHA and such coverage shall be in place before the execution of the contract awarded and as a condition to it.

#### **D.6 OPTION TO EXTEND THE TERM OF THE CONTRACT (Reserved)**

## **D.7** METHOD OF COMPENSATION

Monthly Payment of Services:

DCHA shall pay for services on a monthly basis (Net 30)

- a. All payment requests must be addressed as follows and forwarded to:

District of Columbia Housing Authority  
Accounts Payable  
1133 North Capitol Street, NE, Suite 329  
Washington, D.C. 20002-7599  
Email: [DCHApayments@dchousing.org](mailto:DCHApayments@dchousing.org)

- b. Proper invoices shall contain the following information:
- Contract Number
  - Purchase Order Number
  - Identification of matters/services performed consistent with the contract requirement and supporting documentation.
- c. Failure to provide all documentation as outlined in item “b” above may delay payment of invoices.
- d. DCHA’s Office of Financial Management and DCHA’s Accounts Payable Division processes checks for payment every Thursday. When that date falls on a weekend or holiday, checks will be processed on the next business day.

## **D.8** TASK ORDERS- *Reserved*

## **D.9** AFFIRMATIVE ACTION PROGRAM

Respondent shall submit two (2) copies of an Affirmative Action Plan (AAP) and Goals and Timetables (G&T) “Compliance with Equal Opportunity Obligations in Contracts” and the Office of Human Rights Regulations, Chapter 11, “Equal Employment Opportunity Requirements in Contracts” promulgated August 15, 1986.

## **D.10** SECTION 3 REQUIREMENTS

All Contractors shall comply with the Section 3 Program, as defined in the Housing and Urban Development Act of 1968 (12 U. S. C. 1701u) (Section 3), amended by the Housing and Community Development Act of 1992 (1992 Act) (commonly known as and herein after as the Section 3 Program). Section 3 compliance requires all Contractors performing work on behalf of the DCHA or any of its subsidiaries to ensure that training, employment, contracting and other economic opportunities generated by the Federal financial assistance shall, to the greatest extent feasible, be directed toward low-income and very-low-income persons, particularly those who are recipients of government assistance for housing.

The minimum numerical goal for employment shall be equal to ten percent (10%) of the total number of labor hours on the contract issued. A second option for compliance under this act is to annually enroll Section 3 individuals, totaling a minimum of thirty percent (30%), into an Apprenticeship program. A third option is to subcontract to a Section 3

business concern. In accordance with the HUD regulations, ten percent (10%) of the subcontract may be awarded to Section 3 business concerns for construction and three percent (3%) of the subcontract may be awarded to Section 3 business concerns for non-construction contracts. A fourth option for compliance is to propose a unique program that provides internships for residents or program participants or provides pro bono services to DCHA program participants or Section 3 businesses. Cash donations to DCHA's Section 3 Fund are presented as a "last resort option" to all Contractors. This option is made available to Contractors who have exhausted all priority preferences and are unable to hire or train Section 3 individuals as the contract is immediate and the period is minimal or the skill sets required by the staff is too technical or too advanced for the Section 3 individuals seeking employment. The funds received will be used to provide training, uniforms, or transportation for Section 3 individuals.

Contractors and subcontractors will:

1. Incur all costs required for compliance with the applicable regulations;
2. Be subject to DCHA monitoring for compliance; and
3. Accept any applicable penalties for noncompliance.

**The Contractor must provide an "Action Plan for Section 3 Commitment" and an "Estimated Project Workforce Breakdown" along with their bid proposal to be considered for contract award.** These documents should include a brief narrative description of the contract, training and/or job opportunities for Section 3 participants as a part of this contract. The details of this plan should include the number of Section 3 participants to be hired, a list of tasks, description of skills associated to those tasks, the estimated total hours of those tasks under this contract, and a description of the methods to be used to achieve the respective Section 3 Commitment. A template of the Action Plan for Section 3 Commitment and a copy of the Estimated Project Workforce Breakdown have been enclosed with this RFP (**Attachments**).

DCHA recognizes that the Contractor seeks trainees and/or employees that meet certain requirements. In order to better facilitate this goal, all efforts to hire to meet the Contractor's Section 3 goals must be coordinated through the DCHA Section 3 Compliance Coordinator. Each Section 3 Plan will be rated on the quantity of job opportunities and on the quality of the training and/or the development of skills that lead to economic self-sufficiency and careers.



## Examples of Opportunities

Administrative/ Management	Accounting, payroll, research, bookkeeping, purchasing, word processing
Services	Appliance repair, florists, marketing, carpet installation, janitorial, courier, photography, catering, landscaping, printing, computer/information, manufacturing, transportation.
Construction	Architecture (intern), bricklaying, carpentry, cement/masonry, demolition, drywall, electrical, elevator construction (apprentice), fencing, heating, iron works, machine operation, painting, plastering, plumbing, tile setting.

*See Attachment L: Benchmark Standards & Menu of Expanded Options for Compliance with DCHA's Section 3 Program*

### Non-Compliance Procedures

Any Contractor who fails to make a positive effort to meet the hiring and contracting goals described in the Section 3 Plan may be determined to be non-compliant. After a determination by DCHA that the Contractor has failed to comply with the Section 3 terms of their contract, DCHA shall serve notice of such non-compliance to the Contractor or its representative. The Contractor shall be responsible for notifying any subcontractor(s) who is not in compliance.

Upon request, the Contractor determined to be non-compliant shall meet with DCHA within three (3) working days of written notice in order to determine a method of correcting the deficiencies and determining the time period within which such remedy shall be effective. If remedy is not agreed upon within three (3) working days of the required notice, DCHA shall prescribe the remedy by which deficiencies shall be corrected and notify the Contractor in writing of such a determination. If the Contractor does not correct the deficiencies in the manner as directed by DCHA within thirty (30) calendar days, DCHA may impose any or all of the following sanctions:

- (a) Withholding payments;
- (b) Termination for default or suspension of contract; or
- (c) Placing the Contractor on a list of Contractors who fail to comply with DCHA Section 3 requirements.

### Monitoring

DCHA will conduct unscheduled site visits to interview all Section 3 hires. DCHA will also review weekly payrolls and other supportive documentation.

## **Reporting**

Contractor must submit quarterly reports regarding their Section 3 activities as requested by the Section 3 Compliance Coordinator. If Contractors choose to contract with Section 3 business concerns, they must also submit proof of payment to those businesses.

Within five (5) business days of project completion, Contractors are required to provide a narrative of their efforts and outcomes as it relates to Section 3 activity to the DCHA Section 3 Compliance Coordinator.

## **Contact**

Please consult the Data Management and Section 3 Compliance Specialist, Office of Resident Services, Hanna Koerner at 202.535.1517 [hkoerner@dchousing.org](mailto:hkoerner@dchousing.org) for additional information or assistance with the completion of your Section 3 Action Plan.

### **D.11 RESTRICTION ON DISCLOSURE AND USE OF DATA**

A Respondent including proprietary or confidential information in its proposal shall conspicuously display the following information on the first page of the offer if the Respondent does not want the proprietary or confidential information disclosed to the public for any purpose or used by DCHA except for evaluation purposes:

- D.11.1 That the proposal includes proprietary or confidential information that shall not be disclosed outside of DCHA and shall not be duplicated, used, or disclosed, in whole or in part, for any purpose other than to evaluate the proposal;
- D.11.2 That if a contract is awarded to the Respondent, DCHA shall have the right to duplicate, use or disclose the proprietary or confidential information to the extent provided in the contract;
- D.11.3 That this restriction does not limit DCHA's right to use the proprietary or confidential information if it is obtained from another source without restriction;
- D.11.4 That the Respondent has specifically identified, by page number or otherwise, the proprietary or confidential information subject to the restriction; and
- D.11.5 The Respondent shall not designate as proprietary or confidential information the name of the Respondent, the proposal price, or any information that is not actually proprietary or confidential.

In addition to the requirements above, the Respondent shall conspicuously mark each separate sheet containing proprietary or confidential information it wishes to restrict with a notation to the effect that the use or disclosure of proprietary or confidential information contained on the sheet is subject to the restriction set forth on the first page of the proposal.

#### **D.12 RESPONSIBLE CONTRACTORS**

DCHA will only award contracts to responsible prospective contractors who have the ability to perform successfully under the terms and conditions of the proposed contract. In determining the responsibility of a Respondent, DCHA will consider such matters as the Respondent's:

1. Integrity;
2. Compliance with public policy;
3. Record of past performance; and
4. Financial and technical resources.

Before a proposal is considered for award, the Respondent may be requested by DCHA to submit documentation regarding any of the items in the paragraphs above. Failure by the Respondent to provide such additional information shall render the Respondent ineligible for award. In addition, the DCHA may conduct site visits to the Respondent's office or facility to verify information contained in the proposal.

#### **D.13 EMPLOYEE DISHONESTY INSURANCE**

Upon contract award, Respondent shall provide evidence of Employee Dishonesty Insurance for an amount not less than Two Hundred Fifty Thousand Dollars (\$250,000), obtained at its own expense, for the purpose of protecting DCHA and/or DCHA against dishonest acts of the Respondent and its employees. DCHA must be named as the loss payee. The insurance company, form, limits and content of such coverage will be subject to the approval of DCHA.

#### **D.14 RESPONDENT'S KEY PERSONNEL**

The key personnel specified in the Respondent's proposal are considered to be essential to the work being performed under the contract. Prior to diverting any of the key personnel for any reason(s), the Respondent shall notify the Contracting Officer in writing at least thirty (30) days in advance and shall submit justification (including proposed substitutions) in sufficient detail to permit evaluation of the impact on the contract.

#### **D.15 CONSENT TO SUBCONTRACT**

The Respondent must obtain the consent of DCHA to subcontract any portion of the work. All Respondents are required to include in their proposal a list of any proposed subcontractors, and a list of tasks/items, if any, which the Respondent intends to subcontract all or any portion of work.

#### **D.16 FAIR HOUSING EQUAL OPPORTUNITY CLAUSE**

During the performance of the contract, the Contractor shall not discriminate on the basis of race, color, religion (creed), sex, national origin, handicap or familial status, or the existence or use of a policy or practice, or any arrangement, criterion or other method of administration which has the effect of denying Equal Housing Opportunity, or which substantially impairs the ability of persons to apply for or receive the benefits of assistance because of race, color, religion (creed), sex, national origin, handicap or

familial status, in the sale, rental or other disposition of residential properties or related facilities, including land to be developed for residential use, or in the use or occupancy thereof.

**D.17** THE AMERICAN WITH DISABILITIES ACT OF 1990 (ADA)

During the performance of the contract, the Contractor and all of its subcontractors shall comply with the ADA. The ADA makes it unlawful to discriminate in employment against a qualified individual with a disability. See 42 U.S.C. §2101, *et.seq.*

**D.18** SECTION 504 OF THE REHABILITATION ACT OF 1973 (AS AMENDED)

During the performance of the contract, the Contractor and all of its subcontractors shall comply with the Section 504 of the Rehabilitation Act of 1973, as amended. This Act prohibits discrimination against persons with disabilities in federally funded programs and activities. DCHA has entered into a Voluntary Compliance Agreement that requires a minimum of 6 percent (6%) of the public housing units constructed will comply with Uniform Federal Accessibility Standards (“UFAS”). DCHA may require more than 6 percent (6%) in an applicable revitalization plan. In addition, all other units constructed must comply, as applicable, with the Fair Housing Act guidelines on accessibility. See 29 U.S.C. §794.

**D.19** NO WARRANTY

Respondent is required to examine the RFP, specifications and/or instructions pertaining to the services required. Failure to do so will be at the Respondent’s own risk. It is assumed that the Respondent has made full investigation so as to be fully informed of the extent and character of the services requested and of the requirements of the specifications. No warranty is made or implied as to the information contained in the RFP, specifications, and/or instructions.

**D.20** EXPENSE OF THE RFP SUBMISSION

All expenses incurred in the preparation and submission of proposals in response to the RFP shall be borne by the Respondent.

**D.21** CANCELLATION

DCHA reserves the right to cancel this RFP, or to reject, in whole or in part, any and all proposals received in response to this RFP, upon its determination that such cancellation or rejection is in the best interest of DCHA. DCHA further reserves the right to waive any minor information on any proposal received, if it is in the best interest of DCHA to do so. The decision as to who shall receive a contract award, or whether or not an award shall be made as a result of this RFP, shall be at the sole and absolute discretion of DCHA.

## **D.22** PROTEST

Any party involved in a dispute with DCHA related to any procurement decision that has unfavorably affected the aggrieved party is entitled to a resolution of the dispute in a timely manner and in accordance with applicable local or Federal law. The protestor, as defined below, in all instances, must pursue a remedy through the established administrative procedures of DCHA prior to pursuing protest with the Federal agency, defined below. Guidelines and procedures that must be followed are presented herein.

### **DEFINITIONS**

**Contracting Officer.** The person within DCHA or DCHA duly authorized by the governing body thereof to administer contracts for, and in the name of, DCHA or DCHA as applicable.

**Executive Director.** The Executive Director of the District of Columbia Housing Authority.

**Federal Agency.** Unless otherwise defined, the United States Department of Housing and Urban Development (HUD).

**Protester.** Any Respondent to a solicitation made by DCHA who has submitted a bid, quotation, or proposal meeting all the material requirements of the solicitation who has received an unfavorable decision concerning the results thereof and has a valid basis to challenge the award decision; or any Respondent who files a complaint based on the content of the RFP, prior to the proposal due date, based on specific facts giving rise to any such complaint.

### **PROCEDURES**

1. Any protest against a solicitation must be received before the due date for receipt of bids or proposals, and any protest against the award of a contract must be received within ten (10) calendar days of contract award by the Contracting Officer on behalf of DCHA, or the protest will not be considered, unless a different time period has been established, if applicable. In such cases, the time-period set forth in the solicitation and/or contract prevails. The complaint must be in writing, and at a minimum must identify the name, address and phone number of the Protestor; Solicitation number and project title; a detailed statement of the basis for the protest; supporting evidence or documents to substantiate any arguments; form of relief requested.
2. The Contracting Officer may suspend the procurement pending resolution of the protest, if warranted by the facts presented.

3. The Contracting Officer shall issue a written decision to the Protestor within ninety (90) days of its receipt by certified mail with return receipt requested. The written decision shall state a description of the claim; a reference to the pertinent clause; a statement of the factual areas of agreement or disagreement; a statement of the Contracting Officer's decision with supporting rationale; and a statement referencing appeal rights.
4. The Contracting Officer's Final Decision may be appealed to the DCHA Executive Director through the Office of the General Counsel. Such request must be in writing and made within ten (10) calendar days of receipt of the Contracting Officer's decision.
5. The DCHA Executive Director's decision may be appealed to the District of Columbia Board of Contract Appeals (CAB) or other court of competent jurisdiction as determined and agreed to by the Parties. The appeal must be filed within thirty (30) days of receipt of the decision rendered by the DCHA Executive Director, or other time period required by the selected court of competent jurisdiction, as applicable.
6. The DCHA Board or Commissioners has no jurisdiction over Bid Protests.
7. All protests, except those directed to CAB, shall be addressed to the administrative offices of DCHA. The address of the administrative offices of DCHA is as follows:
  - District of Columbia Housing Authority
  - Office of Administrative Services
  - Contracts and Procurement
  - 1133 North Capitol Street, N.E. Suite 300
  - Washington, D.C. 20002-7599
  - Attn: Contracting Officer

#### **D.23 BEST AND FINAL OFFERS**

DCHA may award a contract upon the basis of initial responses received, without discussion. Therefore, each initial Proposal should contain the Respondent's best terms from a cost and technical standpoint. However, if discussions are held with one Respondent, all Respondents within the competitive range will be notified regarding the holding of discussions and will be provided an opportunity to submit written best and final offers ("BAFO's") at the designated date and time. If modification is submitted, it must be received by the date and time specified and is subject to the "Late Submission, Modifications and Withdrawals of Proposals" provisions of this solicitation.

After receipt of best and final offers, no discussions will be reopened unless the Contracting Officer determines that it is clearly in DCHA's best interest to do so. If discussions are opened, the Contracting Officer shall issue an additional request for best and final offers to all respondents still within the competitive range.

**D.24** DAVIS BACON REQUIREMENTS (Reserved)

**D.25** MCNAMARA - O'HARA SERVICE CONTRACT ACT

Respondent shall be required to comply with the requirements of the McNamara-O'Hara Service Contract Act of 1965 (SCA), as amended, 41 U.S.C. 351, *et seq.*, and the implementing regulations found in 29 C.F.R. 4. The McNamara-O'Hara Service Contract Act requires contractors and subcontractors performing services on prime contracts in excess of \$2,500 to pay service employees in various classes no less than the wage rates and fringe benefits found prevailing in the locality, or the rates (including prospective increases) contained in a predecessor contractor's collective bargaining agreement. For prime contracts in excess of \$100,000, contractors and subcontractors must also, under the provisions of the Contract Work Hours and Safety Standards Act, as amended, 40 U.S.C. 3701 *et seq.*, pay laborers and mechanics, including guards and watchmen, at least one and one-half times their regular rate of pay for all hours worked over forty (40) in a workweek.

**D.26** QUALIFIED BIDDERS LISTING (QBL) (Reserved)

**SECTION E - EVALUATION FACTORS FOR AWARD**

**E.1** EVALUATION OF PROPOSALS

All proposals will be evaluated based on the evaluation criteria outlined below. The Contracting Officer will determine a competitive range based on the established criteria and point system. The Evaluation Panel may consider a proposal unacceptable if it lacks critical information or the submission represents a major deviation from the requirements of this RFP. Minor omissions such as, incomplete references may, at the sole option and discretion of the DCHA, be corrected subsequent to the submission due date.

All proposals will be initially reviewed to determine compliance with the Proposal Format Requirements specified within this solicitation. Proposals that do not comply with these requirements may be rejected without further review.

The evaluation factors and the comparative scoring of the factors shown in Section E.3 below will be used to determine which Respondents fall within the competitive range and the recommendation made to the Executive Director.

## **E.2** RELATIVE IMPORTANCE OF TECHNICAL VERSUS COST/PRICE FACTORS

DCHA will make an award to the most responsible and responsive Respondent whose offer conforms to the solicitation and is most advantageous to the DCHA (i.e., that which represents the best value to the DCHA), cost or price and other factors considered.

DCHA may award a contract to other than the lowest priced Proposal. In the event that two or more proposals are considered technically equivalent, the evaluated cost or price will be of primary importance in determining the proposal most responsive.

DCHA may make multiple awards to ensure availability of a wide range of products or services. The proposed cost must be considered reasonable and must reflect the proposed approach.

The combined relative merit of the technical evaluation factors listed below will be more significant than cost or price in the selection of the Respondent.

## **E.3** EVALUATION AND SELECTION CRITERIA

Respondents are required to respond thoroughly to each of the following evaluation factors, with focus on providing answers that are both clear and concise. If any requirement listed cannot be met, full disclosure must be given, along with an explanation and proposed alternative, if appropriate.

DCHA will add additional points for Business Enterprise Designations after the technical evaluations have been completed and the competitive range has been established by the Contracting Officer.

The following evaluation factors will be used in determining the competitive range, **with a total possible score of 202.5 points.**

Proposals will be evaluated with points assigned to each section as follows:



## TECHNICAL AND COST EVALUATION FACTORS

Criteria	Maximum Points
<p><b>Qualifications</b> Background of the level of staff who will be devoted to the banking services and evidence of the banking institution’s performance histories including accreditation, certification and regulatory compliance.</p>	<b>30</b>
<p><b>Experience</b> Respondent’s previous experience with government entities, public housing authorities, HUD funded programs or local governments, including letters of recommendation.</p>	<b>30</b>
<p><b>Capability of Respondent</b> Evidence of the Respondent’s ability to perform the banking services as described in the Scope of Work; organizational capacity necessary to successfully provide the required banking services.</p>	<b>30</b>
<p><b>Management Approach and Methodology</b> Respondent’s level of staff who will be devoted to the banking services; experience and qualifications of the specific staff assigned to DCHA’s banking services.</p>	<b>20</b>
<p><b>Demonstrated Understanding of the Services</b> Proposals should include the Respondent’s understanding of Scope of Services and the objectives to be achieved. It must include the minimum information as identified. The Respondent may attach any additional information that is deemed useful to the related proposal.</p>	<b>10</b>
<p><b>Section 3</b></p> <ol style="list-style-type: none"> <li>1. <u>*For non-construction contracts: Proposes to have DCHA residents or program participants as interns. For construction contracts: Proposes to have DCHA residents or program participants as apprentices.</u> (2 pts.)</li> <li>2. Demonstrated evidence of successful past performance with the fulfillment of Section 3 Commitments. (3 pts.)</li> <li>3. Will hire DCHA residents or program participants for appropriate employment opportunities within the firm or any of its affiliated subcontractors. (4 pts.)</li> <li>4. Proposes to provide funding for training for a DCHA resident or program participant. (3 pts.)</li> <li>5. Will provide pro bono services to resident controlled companies or residents desiring to start a company either as a for-profit or non-profit entity. (2 pts.)</li> <li>6. **Proposes to subcontract supportive services to Section 3 businesses. (2 pts.)</li> <li>7. ***Vendor submits a unique proposal that supports the requirement of Section 3 “to provide DCHA residents and program participants with training and/employment opportunities”. (4 pts.)</li> </ol>	<b>20</b>
<b>COST</b>	
<p><b>Proposed fee and breakdown</b> Respondent will respond to Exhibits I &amp; II. Banking institution’s generic pricing sheet will not be accepted. Actual points assigned to each respondent in this category will be based on the offer’s unit price and will be compared in accordance with the total price provided. The respondent with the lowest price will receive the maximum points. All others proposals will receive a proportionately lower total score. Respondents shall propose fixed pricing for the 5 year term.</p>	<b>50</b>
<b>Maximum Technical Points</b>	<b>190</b>

\*Approved apprenticeship and trainee programs include: 1) an apprenticeship program approved by the Bureau of Apprenticeship and Training of the Department of Labor; 2) a State Apprenticeship Agency; 3) an on-the-job training program approved by the Bureau of Apprenticeship and Training in accordance with the regulation at 29 CFR part 5; 5) a training program approved by HUD in accordance with HUD policies and guidelines, as applicable. Participation in an approved apprenticeship program does not, in and of itself, demonstrate compliance with the regulations of this part.

\*\*A Section 3 Business is defined as a business that is 51% or more owned by low-income individuals OR, a business whose full time workforce includes, at minimum, 30% low income individuals OR, a business who subcontracts in excess of 25% of the total amount of its contract to Section 3 businesses.

\*\*\*Sponsoring DCHA program participant(s) involves:

- Providing the financial means necessary for the program participant(s) to receive training in a specific trade or other method of higher learning;
- Providing mentoring to the program participant(s) sponsored; and
- Committing to hire the respective program participant(s) after successful completion of their specialized training.

BONUS POINTS

**MAXIMUM 12.5 POINTS**

**BUSINESS ENTERPRISE DESIGNATION POINTS FOR SMALL  
MINORITY/WOMEN OWNED BUSINESS (Maximum 10 Bonus Points)**

DCHA will add additional points for Business Enterprise Designations after the technical evaluations have been completed and the competitive range has been established by the Contracting Officer.

Upon determination of the competitive range, each Respondent who has been deemed technically qualified and is within the competitive range can receive additional points if the Respondent is determined to be a Local Small Minority or Women-Owned Business Enterprise (LSM/WBE).

The following definitions apply for the DCHA Business Enterprise Designation

“**Local**” means within the Metropolitan Business Area.

“**Small**” means a firm with 500 employees or less.

“**Minority**” means 51% ownership.

“**Women-Owned**” means 51% ownership.

The points shall be awarded to the Respondents based on a review of the Respondent, either party of a joint-venture, or a Respondent’s subcontractors.

The points will be awarded in the following manner:

Local	= 2.5 points
Small	= 2.5 points
Minority	= 2.5 points
Women-Owned	= 2.5 points

CERTIFIED BUSINESS ENTERPRISE PARTICIPATION

(Maximum 2.5 Bonus Points)

DCHA will recognize the District of Columbia’s Certified Business Enterprise (“CBE”) certification. In recognition of the District’s CBE certification, DCHA will use the following CBE point system below to determine the number of points awarded to entities with CBE certification. Please be advised that if one (1) or more team members are a CBE, DCHA will only award points for one CBE team member. The point award will be based on the CBE with the highest number of preference points awarded by the District.

District of Columbia	D.C. Housing Authority
10-12 Preference Points	2.5 Preference Points
6-9 Preference Points	2.0 Preference Points
2-5 Preference Points	1.0 Preference Points

**TOTAL MAXIMUM POINTS (to include Bonus)**

**202.5 POINTS**

**SECTION F-TIMETABLE (SELECTION PROCESS)**

**F1. TIMETABLE**

DCHA will endeavor to follow the timetable set forth below; however, the activities and timetable represented below are a guideline only and are subject to change at DCHA’s sole discretion and without prior notice:

<b>Advertise Date</b>	<b>Sunday, January 21, 2018</b>
<b>Issuance of RFP</b>	<b>Monday, January 22, 2018</b>
<b>Deadline for Submission of Inquiries/Questions</b>	<b>Monday February 5, 2018</b>
<b>Submission of Proposals</b>	<b>Thursday, February 22, 2018</b>
<b>Evaluation Period</b>	<b>TBD</b>
<b>DCHA or Board Committee Review (if applicable)</b>	<b>TBD</b>
<b>Presentation to DCHA Board of Directors for Contract Approval (if applicable)</b>	<b>TBD</b>
<b>Contract Award</b>	<b>TBD</b>

**DATES IDENTIFIED ABOVE ARE SUBJECT TO CHANGE AT THE SOLE DISCRETION OF DCHA.**

*ALL PROPOSALS SUBMITTED FOR CONSIDERATION WILL BE REVIEWED BY DCHA. THE RESPONDENT(S) RECEIVING THE HIGHEST RATING, BASED ON THE CRITERIA PRESENTED ABOVE, WILL BE SELECTED AS BEING THE MOST CAPABLE OF PROVIDING THE SERVICES AND SUPPLIES IN A MANNER THAT IS MOST ADVANTAGEOUS TO DCHA, COST AND OTHER FACTORS CONSIDERED. DCHA MAY REJECT ANY OR ALL PROPOSALS THAT ARE DETERMINED NOT TO BE IN DCHA’S BEST INTEREST. IN ADDITION, DCHA RESERVES THE RIGHT TO WAIVE ANY MINOR INFORMALITIES OR MINOR IRREGULARITIES IF IT SERVES DCHA’S BEST INTEREST IN DOING SO.*

## SECTION G – EXHIBITS, APPENDICES & ATTACHMENTS

### G.1 EXHIBITS

[Exhibit I](#)  
[Exhibit II](#) Price Proposal Monthly Investment Income/Credit, and  
Price Proposal Monthly Bank Service Fees

### G.2 APPENDICES

[Appendix I](#)  
[Appendix II](#) Form HUD-51999 General Depository Agreement  
NOTICE PIH 2002-13 (HAs) for Required HA Cash Management  
and Investment Policies and Procedures

### G.3 ATTACHMENTS

[Attachment A-](#) HUD 5370 General Conditions for Non-Construction Contracts  
Section I  
[Attachment B-](#) Tax Certification Affidavit  
[Attachment C-](#) Non-Collusive Affidavit  
[Attachment D-](#) Certificate of Eligibility  
[Attachment E-](#) Contract Compliance Requirements  
[Attachment F-](#) Payments to Subcontractors and Suppliers Certificate  
[Attachment G-](#) Representations, Certifications of Offerors (Non-Construction)  
[Attachment H-](#) Statements of Bidders Qualifications  
[Attachment I-](#) Section 3 Contract Compliance Agreements  
[Attachment J-](#) Conflict of Interest Certification  
[Attachment K-](#) List of Certified Minority Woman-Owned Banks  
[Attachment L-](#) Benchmark Standards and Menu of Expanded Options for  
Compliance with DCHA’s Section 3 Program  
[Attachment M-](#) HUD 5369 –B Instructions to Offerors Non-Construction  
[Attachment N-](#) Wage Determination